

## PATENT

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANT: Guy G. Riddle  
APPLICATION NO.: 10/020,515  
FILING DATE: December 7, 2001  
TITLE: SYSTEM FOR TERMINATING MULTICAST CHANNEL AND DATA  
BROADCAST WHEN AT LEAST TWO SECOND ENDPOINTS DO NOT  
TRANSMIT POSITIVE ACKNOWLEDGEMENT MESSAGE TO FIRST  
ENDPOINT  
EXAMINER: Bunjob Jaroenchonwanit  
GROUP ART UNIT: 2141  
ATTY. DKT. NO.: 18602-06587 (P1517R1)

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence, including the enclosures identified below, is being transmitted via facsimile on the date shown below to: OIPE, Customer Service Center, Commissioner for Patents, at the facsimile number of (703) 746-9195:

Dated: July 28, 2003

By: 

Kirk A. Gottlieb, Reg. No. 42,596

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## REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a marked-up copy of the Official Filing Receipt. It contains the following error:

1. The filing date is erroneously stated as December 18, 2001. The correct filing date is December 7, 2001, as evidenced by a) a copy of the Reissue Patent Application Transmittal with the signed and dated Certificate of Mailing, which contains a proper certification under 37 CFR 1.10, and b) a copy of the Express Mail mailing label as processed by the United States Postal Service indicating that the Applicant's representative deposited the

**PATENT**

package containing the application with the United States Postal Service on December 7, 2001.  
True copies of each of these documents marked, respectively, Exhibit A and Exhibit B are enclosed.

Please correct the records and issue a corrected Filing Receipt to the undersigned.

Respectfully submitted,

GUY G. RIDDLE

Dated: July 28, 2003

By: 

Kirk A. Gottlieb, Reg. No. 42,596

Attorney for Applicant

Fenwick & West LLP

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/020,515	<del>12/18/2001</del> 12/07/2001	2151	1290	18602-06587	27	20	7

CONFIRMATION NO. 8767

00758  
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UPDATED FILING RECEIPT



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Date Mailed: 08/22/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Guy G. Riddle, Los Gatos, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A REI OF 08/987,332 12/09/1997 PAT 5,999,977  
WHICH IS A CON OF 08/468,715 08/05/1995 ABN  
WHICH IS A CON OF 08/396,198 02/24/1995 PAT 5,854,898

## Foreign Applications

If Required, Foreign Filing License Granted 03/07/2002

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

## Title

System for terminating multicast channel and data broadcast when at least two second endpoints do not transmit positive acknowledgement message to first endpoint

Please type a plus sign (+) inside this box ☐

PTO/SB/50 (4/98)

Approved for use through 09/30/2000. OMB 0651-0033  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REISSUE PATENT APPLICATION TRANSMITTAL**

<b>Address to:</b>  <b>Box Reissue</b> <b>Commissioner For Patents</b> <b>Washington, DC 20231</b>	Attorney Docket No.	18602-06587
	First Named Inventor	Guy G. Riddle
	Original Patent Number	5,999,977
	Original Patent Issue Date (Month/Day/Year)	December 7, 1999
	Express Mail Label No.	EA599907741US


**APPLICATION FOR REISSUE OF:**  
(check applicable box) ☒ Utility Patent ☐ Design Patent ☐ Plant Patent

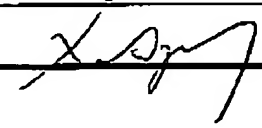
APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input type="checkbox"/> *Fee Transmittal Form ((PTO/SB/56) (Submit an original, and a duplicate for fee processing),	7. <input type="checkbox"/> Statement of status/support for all changes to the claims. See 37 CFR 1.173(c).
2. <input checked="" type="checkbox"/> Specification and Claims (amended, if appropriate)	8. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
3. <input checked="" type="checkbox"/> Drawing(s) (proposed amendments, if appropriate)	9. <input type="checkbox"/> English Translation of Reissue Oath/Declaration (if applicable)
4. <input checked="" type="checkbox"/> Reissue Oath/Declaration (unsigned) (37 C.F.R. § 1.175)(PTO/SB/51 or 52)	10. <input type="checkbox"/> *Small Entity <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
5. Original U.S. Patent <input type="checkbox"/> Original U.S. Patent for Surrender <input type="checkbox"/> Ribboned Original Patent Grant <input type="checkbox"/> Statement of Loss (PTO/SB/55)	11. <input checked="" type="checkbox"/> Preliminary Amendment and Statement of status/support for all changes to the claims. See 37 CFR 1.173(c).
6. Original U.S. Patent currently assigned? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, check applicable box(es))	12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
<input type="checkbox"/> Written Consent of all Assignees (PTO/SB/53)	13. <input type="checkbox"/> Other: _____
<input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney	

\*NOTE FOR ITEMS 1 & 10: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.37), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

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10/020,515 EXHIBIT A

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10/020,515 EXHIBIT B

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PTO/SB/21 (modified)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

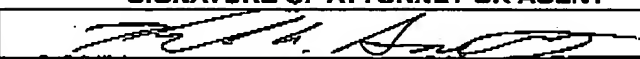
0001/PTO Rev. 10/95  <b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>	U.S. Department of Commerce Patent and Trademark Office	Application Number	10/020,515
		Filing Date	December 7, 2001
		First Named Inventor	Guy G. Riddle
		Group Art Unit Number	2141
		Examiner Name	Bunjob Jaroenchonwanit
Total Number of Pages in This Submission	6	Attorney Docket Number	18602-06587 (P1517R1)

**ENCLOSURES (check all that apply)**


<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
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<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ]
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<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input checked="" type="checkbox"/> Exh. A: Copy of Original Reissue Transmittal
<input checked="" type="checkbox"/> Request for Corrected Filing Receipt	<input checked="" type="checkbox"/> Exh. B: Copy of Original Express Mail Label Indicating date of deposit with USPS
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/> _____
<input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final	<input type="checkbox"/> _____
<input type="checkbox"/> Status Request	<input type="checkbox"/> _____
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> _____

REMARKS:

**SIGNATURE OF ATTORNEY OR AGENT**

Signature:			
Attorney/Reg. No.:	Kirk A. Gottlieb, Reg. No. 42,596	Dated:	July 29, 2003

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Typed or Printed Name:	Kirk A. Gottlieb	Dated:	July 28, 2003
Facsimile Number:	1-703-746-9195		

18602/06587/SF/5104145.1

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## FACSIMILE TRANSMISSION

### CONFIDENTIAL

DATE: July 28, 2003

CLIENT-MATTER NO.: 18602-06587

**To:**

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**FROM:** Kirk A. Gottlieb**PHONE:** (415) 875-2414

NUMBER OF PAGES WITH COVER PAGE: 7

ORIGINAL WILL NOT FOLLOW

**MESSAGE:****REQUEST FOR CORRECTED FILING RECEIPT****Applicant:** Guy G. Riddle**App. No.:** 10/020,515**Filing Date:** December 7, 2001**Title:** SYSTEM FOR TERMINATING MULTICAST CHANNEL AND DATA BROADCAST  
WHEN AT LEAST TWO SECOND ENDPOINTS DO NOT TRANSMIT POSITIVE  
ACKNOWLEDGEMENT MESSAGE TO FIRST ENDPOINT**Atty. Dkt. No.** 18602-06587**CAUTION - CONFIDENTIAL**

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